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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,358	07/19/2001	Wenyuan Shi	2101363-991200

## PATENT DEPARTMENT

ATTN: Nan Wu

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139 Townsend Street, Suite 400

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CONFIRMATION NO. 2383

## FORMALITIES LETTER

\*OC000000007933777\*

Date Mailed: 04/23/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Attorney Docket No.: 2101363-991200

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Attn.: Box Initial Patent Examination Division, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 15, 2002

*Ta. L. Moore*

Ta-Tanisha L. Moore

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Wenyan Shi, et al.

Serial No: 09/910,358

Group Art Unit: 3732

Filed: July 19, 2001

Examiner: Unknown

Title: FUSION PROTEINS FOR TARGETED DELIVERY OF ANTIMICROBIAL PEPTIDES

\* \* \*

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR  
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Attn.: Box Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" (copy enclosed) mailed by the United States Patent and Trademark Office on April 23, 2002, a substitute computer readable form is submitted as required by 37 C.F.R. 1.825(d). Applicants also submit a paper copy of the substitute computer readable form of the Sequence Listing along with a statement stating that the information recorded in the computer readable form is identical to the written sequence listing and that the content of the sequence listing contains no new matter in accordance with 37 C.F.R. § 1.821 *et seq.*

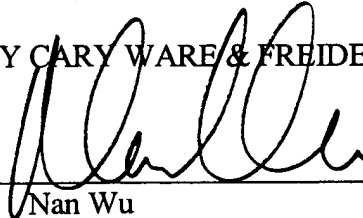
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: May 15, 2002

By



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